

Seminar: Devolution, Privatization, and the New Public Management
CRP 4120/6120, AEM 4330/6330, FGSS 4110/6110
Spring 2011, 4 credit hours

Class meeting location: 208 W. Sibley Hall, Wednesday/Friday 10:10 am – 11:40 am

Professor: Mildred Warner, 215 W. Sibley Hall, 5-6816, mew15@cornell.edu

Office hours: Wednesday 2:30 – 5:00 and Friday 2:00 – 3:00 or by appointment

Teaching Assistant: Ronell Auld ra425@cornell.edu

Class Website: <http://blackboard.cornell.edu>

Restructuring Local Government Website: <http://restructuringlocalgovernment.org>

Course Description

Devolution, decentralization and privatization of government services are international trends. This seminar will review these trends in a national and international context and focus on the local public sector response. Concerns for efficiency as well as changing notions of the appropriate role for the public sector drive these shifts. Privatization and public private partnerships are perhaps the most controversial form of restructuring. We will review the theoretical rationale for privatization and the legal, political and practical concerns that have arisen with its implementation. Special attention will be given to the implications of these shifts for citizenship, governance and accountability.

Decentralization and devolution reflect efforts to promote government responsiveness to citizens – by bringing decisions closer to the community, and to promote economic competitiveness by encouraging developmental over redistributive investments. We will explore the potential and challenges of decentralization in both developing countries and the United States. While decentralization of service delivery is presented as a means to enhance citizen access and involvement, it also helps justify the shrinking of the social welfare state, especially in times of fiscal crisis. Current policies promote network governance involving coalitions of government, private and non profit sector actors. Planners are often key architects of these initiatives and need to be aware of their potential and their limitations. Many cities face deteriorating infrastructure, and as commitment to social welfare erodes, cities are left with increasing responsibilities. New solutions, discussed in this course, will be critical for planners to help cities address the challenge of linking investments in infrastructure, economic and human development with more participatory forms of governance.

Assignments

As a discussion based seminar, class participation is essential. Students will have read materials prior to class and be prepared to discuss them. In addition, students will be expected to prepare short written summaries of readings during the semester. These must be posted to the class

Ethics

Collaborative learning is the goal of this class. Group projects and class discussion depend on full participation of all students. Thus, failure to deliver your component of the work on time undermines the learning experience of others and therefore constitutes an ethics violation of the class code (and will result in a grade reduction). If you find that you cannot complete an assignment for which you volunteered, then post a request to switch with someone to the class list and most likely someone will pick up for you. If not, you are still responsible. Grades for class participation and peer grading for the group project reflect this collaborative learning framework.

Individual work also forms part of the grade and students caught plagiarizing will be given an F. Citation of sources is fundamental to the academy – when in doubt, cite. Each student in this course is expected to abide by the Cornell University Code of Academic Integrity.

Note: In compliance with the Cornell University policy and equal access laws, I am available to discuss appropriate academic accommodations that may be required for students with disabilities. Requests for academic accommodations are to be made during the first three weeks of the semester, except for unusual circumstances, so arrangements can be made.

Readings

Most of the readings for class are articles available on line through the Cornell Library System. We will use several books. These are available at the Campus Store and on reserve in the Fine Arts Library. Most other readings you can link to via Blackboard.

Everyone should purchase the following book.

Webster, Christopher J. and Lawrence Wai-Chung Lai. 2003. *Property Rights, Planning and Markets: Managing Spontaneous Cities*. Cheltenham, UK; Northampton, MA: Edward Elgar. The full class will discuss and everyone should purchase.

The following books will be used for the ‘book fest’ part of the course. Each book will be read by only a few members of the class. Wait to purchase until the class has divided into groups and the book for each group is selected.

Chavez, Daniel, 2007 *Beyond the Market: The Future of Public Services*. Transnational Institute. Book available on line at <http://www.tni.org/archives/know/96>

Davis, Michael 2006. *Planet of slums*, New York: Verso.

Gaventa, John and Tandon, Rajesh, 2010. *Globalizing Citizens*, New York and London: Zed Books.

Graham, S. and Marvin, S. (2001) *Splintering Urbanism: Networked Infrastructures, Technological Mobilities and the Urban Condition*, London and New York: Routledge

Clavel, Pierre, 2010. *Activists in City Hall*, Ithaca: Cornell University Press.

Frug, Gerald E. 1999. *City Making: Building Communities without Building Walls*. Princeton, NJ: Princeton University Press.

Hackworth, Jason, 2007. *The Neoliberal City*, Ithaca: Cornell University Press.

COURSE OUTLINE

Jan 26 Introduction: Overview of Course

Warner, Mildred E. 2010. "The Future of Local Government: 21st Century Challenges," *Public Administration Review*, 70(S-II): 145-147.

<http://onlinelibrary.wiley.com/doi/10.1111/j.1540-6210.2010.02257.x/full>

David A McDonald and Greg Ruiters (2007) Rethinking Privatisation: Towards a critical theoretical perspective, in *Beyond the Market: The Future of Public Services* ed by Daniel Chavez, Amsterdam: Transnational Institute.

<http://www.tni.org/archives/books/yearb05theory.pdf>

Recommended

Barnes, Bill, 2010. Emerging Issues: A Unique Time in Municipal Finance: Not for Hunkering Down. National League of Cities.

<http://www.nlc.org/articles/articleItems/NCW01172011/emergingissuesfinance.aspx>

Jan 28 Privatization Trends

Ramesh, M. 2008 Introduction: Reasserting the state in public services, *Policy and Society* 27: 99–101. Also found in pp 1-16 in *Reasserting the Public in Public Services: New Public Management Reforms*, ed by M. Ramesh, E Araral and X. Wu, London: Routledge.

<http://dx.doi.org/10.1016/j.polsoc.2008.09.006>

Warner, Mildred E. 2008. Reversing privatization, rebalancing government reform: Markets, deliberation and planning, *Policy and Society* 27: 163–174.

<http://dx.doi.org/10.1016/j.polsoc.2008.09.001>

Also found in pp30-48 in *Reasserting the Public in Public Services: New Public Management Reforms*, ed by M. Ramesh, E Araral and X. Wu, London: Routledge.

Bel, Germà , Xavier Fageda and Mildred E. Warner 2010. "Is Private Production of Public Services Cheaper than Public Production? A meta-regression analysis of solid waste and water services," *Journal of Policy Analysis and Management*, 29(3): 553-577.

<http://onlinelibrary.wiley.com/doi/10.1002/pam.20509/full>

Recommended

World Bank, 2006. Approaches to Private Participation in Water Services, Public Private Infrastructure Advisory Facility (PPIAF).

http://rru.worldbank.org/Documents/Toolkits/Water/Water_Full.pdf

Feb 2 New Approaches to Infrastructure Investment

O'Neill, Phillip. (2010). Infrastructure Financing and Operation in the Contemporary City, *Geographic Research* 48(1):3-12.

<http://onlinelibrary.wiley.com/doi/10.1111/j.1745-5871.2009.00606.x/full>

Graham, S. and Marvin, S. (2001) *Splintering Urbanism: Networked Infrastructures, Technological Mobilities and the Urban Condition*, London and New York: Routledge. Chapter 4, Practices of Splintering Urbanism, pp 137-177.

Hall, David 2010. Why We Need Public Spending. PSIRU, Business School, University of Greenwich, Park Row, London

http://www.qpsconference.org/sites/default/files/PSIRU_Whyweneedpublicspending_Nov2010.pdf

Recommended

Lidia Ceriani, Raffaele Doronzo and Massimo Florio, 2009. Privatization, Unbundling, and Liberalization of Network Industries: a discussion of the dominant policy paradigm in the EU – DEAS Working Paper, O9-2009, Italy: University of Milan.

http://www.economia.unimi.it/uploads/wp/Deas2009_09wp.pdf

Dannin, Ellen & Cokorinos, Lee (2011). Infrastructure Privatization in the United States in the New Millennium, in Robert D. Ebel & John E. Petersen, *The Oxford Handbook of State and Local Government Finance*.

Warner, Mildred E. 2009. Local Government Infrastructure – and the False Promise of Privatization. A Century Foundation Report. New York: Century Foundation.

<http://tcf.org/publications/pdfs/pb679/warner.pdf>

Swartz, Katherine. 2010. Challenges in an aging society: Presidential address to APPAM, *Journal of Policy Analysis and Management*. 29(2):227-242.

<http://onlinelibrary.wiley.com/doi/10.1002/pam.20489/full>

Feb 4 Public Choice Theory and Consumer Sovereignty

Tiebout, Charles M. 1956. "A Pure Theory of Local Expenditures," *The Journal of Political Economy*, 64(5): 416-424.

<http://www.jstor.org/stable/pdfplus/1826343.pdf?acceptTC=true&>

Lowery, David. 2000. "A Transactions Costs Model of Metropolitan Governance: Allocation versus Redistribution in Urban America," *Journal of Public Administration Research and Theory*, 10(1): 49-78.

<http://proquest.umi.com/pqdweb?index=3&did=52634951&SrchMode=3&sid=1&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187197098&clientId=8424&aid=1>

Lowery, David. 1998. "Consumer Sovereignty and Quasi-Market Failure," *Journal of Public Administration Research and Theory*, 8(2): 137-172.

<http://proquest.umi.com/pqdweb?index=2&did=29426160&SrchMode=3&sid=4&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187198853&clientId=8424&aid=5>

Recommended

Warner, Mildred E. and Amir Hefetz. 2002. "Applying Market Solutions to Public Services: An Assessment of Efficiency, Equity, and Voice," *Urban Affairs Review*, 38(1): 70-89.

<http://uar.sagepub.com/cgi/content/abstract/38/1/70>

Boyne, George A. 1998. "Bureaucratic Theory Meets Reality: Public Choice and Service Contracting in U.S. Local Government," *Public Administration Review*, 58(6): 474-483.

<http://proquest.umi.com/pqdweb?index=5&did=36339483&SrchMode=3&sid=2&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187197458&clientId=8424&aid=3>

Feb 9 Voluntary Bargaining and Transactions Costs

Hahnel R and Sheeran KA. 2009. Misinterpreting the Coase Theorem. *Journal of Economic Issues*. Vol 43. No 1. March. pp 215-238.

<http://proquest.umi.com/pqdweb?index=0&sid=1&srchmode=1&vinst=PROD&fmt=6&startpage=-1&clientId=8424&vname=PQD&RQT=309&did=1667394711&scaling=FULL&ts=1295391218&vtype=PQD&rqt=309&TS=1295391223&clientId=8424>

Gerbası, Jennifer and Mildred E. Warner. 2007. "Privatization, Public Goods, and the Ironic Challenge of Free Trade Agreements," *Administration & Society*, 39(2): 127-149.

<http://aas.sagepub.com/cgi/content/abstract/39/2/127>

Williamson, O.E. (1999). Public and Private Bureaucracies: A Transaction Cost Economics Perspective. *Journal of Law, Economics, & Organization*, 15 (1), 306-342.

<http://jleo.oxfordjournals.org/content/15/1/306.full.pdf+html>

Recommended

Coase, R.H. 1960. "The Problem of Social Cost," *Journal of Law and Economics*, 3: 1-44.

<http://www.jstor.org/stable/724810> focus especially on pp 15-19, 28-25, attacks Pigou 35-42.

Warner, Mildred E. 2009. "Regulatory Takings and Free Trade Agreements: Implications for Planners," *Urban Lawyer*, 41(3): 427-443.

<http://proquest.umi.com/pqdweb?RQT=305&SQ=%28issn%2800420905%29%20or%20jo%28urban%20lawyer%29%29%20and%20ti%28%22regulatory%20takings%20and%20free%20trade%20agreements%3A%20implications%20for%20planners%22%29%20and%20volume%2841%29%20and%20issue%283%29%20and%20pdn%28%3E01%2F01%2F2009%20AND%20%3C12%2F31%2F2009%29>

Hefetz, Amir and Mildred E. Warner. 2007. "Beyond the Market vs. Planning Dichotomy: Understanding Privatisation and its Reverse in US Cities," *Local Government Studies*, 33(4): 555-572.

<http://www.informaworld.com/smpp/section?content=a780701874&fulltext=713240928>

Feb 11 Club Goods

Warner, M.E. 2011. "Club Goods and Local Government: Challenges for Planners," *Journal of the American Planning Association*, forthcoming.

Ostrom, Elinor. (2010). "Analyzing Collective Action." *Agricultural Economics* 41(S1) (November 2010): 155–66. <http://onlinelibrary.wiley.com/doi/10.1111/j.1574-0862.2010.00497.x/pdf>

Recommended

Buchanan, James M. (1965). An Economic Theory of Clubs *Economica* 32(25): 1-14.
<http://www.jstor.org/stable/pdfplus/2552442.pdf>

Additional Optional Reading: Practical Applications of Market Based Approaches: CIDs, BIDs, TDRs

Nelson, Robert H. 2004. "The Private Neighborhood," *Regulation*, Summer 2004, 40-46.
<http://www.cato.org/pubs/regulation/regv27n2/v27n2-5.pdf>

Fischel, William A. 2004. "Revolution or Evolution?" *Regulation*, Summer 2004, 48-53.
<http://www.cato.org/pubs/regulation/regv27n2/v27n2-6.pdf>

Staley, Samuel and Lynn Scarlett. 1997. "Market Oriented Planning: Principles and Tools," Working Paper. Los Angeles, CA: The Reason Foundation.
<http://reason.org/files/a1ef59e1735a02906d93c5b86b8dde82.pdf>

Mitchell, J. (1999), *Business Improvement Districts and Innovative Service Delivery*, Grant Report for the PriceWaterhouse Coopers Endowment for Government. Focus on pp 6-13.
<http://www.businessofgovernment.org/report/business-improvement-districts-and-innovative-service-delivery>

Ben-Joseph, Eran. 2003. "Subdivision Regulations: Practices & Attitudes, A Survey of Public Officials and Developers in the Nation's Fastest Growing Single Family Housing Markets," Working Paper. Cambridge, MA: Lincoln Institute of Land Policy.
http://www.lincolninst.edu/pubs/846_Subdivision-Regulations--Practices---Attitudes

McCabe, Barbara Coyle, and Jill Tao. "Private Governments and Private Services: Homeowners Associations in the City and Behind the Gate." *Review of Policy Research* 23, no. 6 (2006): 1143-1157. <http://web.ebscohost.com/ehost/detail?hid=112&sid=51f959b0-6be0-4704-bfae-46c0b3e00b59%40sessionmgr110&vid=1&bdata=JnNpdGU9ZWhvc3QtbGl2ZQ%3d%3d#db=a2h&AN=23115676>

Feb 16 Citizen, Consumer and the Changing Social Contract

Feigenbaum, Harvey B. and Jeffrey R. Henig. 1994. "The Political Underpinnings of Privatization: A Typology," *World Politics*, 46(2): 185-208.
<http://www.jstor.org/stable/2950672>

DeLeon, Linda and Robert B. Denhardt. 2000. "The Political Theory of Reinvention," *Public Administration Review*, 60(2): 89-97.
<http://onlinelibrary.wiley.com/doi/10.1111/0033-3352.00068/pdf>

Schick, Alan. 1998. "Why Most Developing Countries Should Not Try New Zealand's Reforms," *The World Bank Research Observer*, 13(1): 123-131.
<http://proquest.umi.com/pqdweb?index=10&did=28582440&SrchMode=3&sid=7&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187200472&clientId=8424&aid=8>

Recommended

Moe, Ronald C. 1994. "The 'Reinventing Government' Exercise: Misinterpreting the Problem, Misjudging the Consequences," *Public Administration Review*, 54(2): 111-122.
<http://proquest.umi.com/pqdweb?index=37&did=709222&SrchMode=3&sid=8&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187200565&clientId=8424&aid=9>

Blanchard, Lloyd A., Charles C. Hinnant and Wilson Wong. 1998. "Market-Based Reforms in Government: Toward a Social Subcontract?" *Administration & Society*, 30(5): 483-512.
<http://proquest.umi.com/pqdweb?index=5&did=35514668&SrchMode=3&sid=1&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187213135&clientId=8424&aid=1>

Rosenbloom, David H. and Suzanne J. Piotrowski. 2005. "Outsourcing the Constitution and Administrative Law Norms," *The American Review of Public Administration*, 35(2): 103-121.
<http://arp.sagepub.com/cgi/content/abstract/35/2/103>

Schamis, Hector E. 2002. *Re-forming the State: The Politics of Privatization in Latin America and Europe*. Ann Arbor, MI: University of Michigan Press.

Feb 18 International Critiques: Citizen, Consumer and Government Capacity

Graham, Carol. 1998. *Private Markets for Public Goods: Raising the Stakes in Economic Reform*. Washington, DC: The Brookings Institution Press. Chapter 1 (Book on Reserve).

Miraftab, Faranak. 2004. "Neoliberalism and Casualization of Public Sector Services: The Case of Waste Collection Services in Cape Town, South Africa," *International Journal of Urban and Regional Research*, 28(4): 874-892.

<http://www3.interscience.wiley.com/journal/118779134/abstract?CRETRY=1&SRETRY=0>

Ramamurti, Ravi. 1999. "Why Haven't Developing Countries Privatized Deeper and Faster?" *World Development*, 27(1): 137-155. [http://dx.doi.org/10.1016/S0305-750X\(98\)00131-4](http://dx.doi.org/10.1016/S0305-750X(98)00131-4)

Feb 23 The Promise and Challenge of Network Governance

Salamon, Lester. 2002. "The New Governance and the Tools of Public Action: An Introduction" pp 1-47 in *The Tools of Government: A Guide to the New Governance*. Oxford; New York: Oxford University Press. (Book on Reserve)

Benjamin, Solomon. 2005. Analogue to Digital: Re-Living Big Business's Nightmare in New Hydras. In *World-Information Special IP CITY EDITION*. Tunis. Open Access: <http://world-information.org/wio/wsis/2005/texts/1154317929>

Recommended

Miraftab, Faranak. 2004. "Public-Private Partnerships: The Trojan Horse of Neoliberal Development?" *Journal of Planning Education and Research*, 24(1): 89-101. <http://jpe.sagepub.com/cgi/content/abstract/24/1/89>

Rhodes, R.A. W. 1996. "New Governance: Governing Without Government," *Political Studies* XLIV:652-667. <http://web.ebscohost.com/ehost/detail?hid=112&sid=2bfd7864-0517-4417-9d7c-2b01c936180b%40sessionmgr113&vid=1&bdata=JnNpdGU9ZWhvc3QtG12ZQ%3d%3d#db=aph&AN=9701241797>

Feb 25 and March 2 Markets and Property Rights or Planning?

Webster, Christopher J. and Lawrence Wai-Chung Lai. 2003. *Property Rights, Planning and Markets: Managing Spontaneous Cities*. Cheltenham, UK; Northampton, MA: Edward Elgar. (Purchase book)

March 4 Guest Lecture – Chris Webster, University of Cardiff

March 9 Theory Paper Due

March 9 Group Working Session

This year's focus is on infrastructure and finance questions. How bad is the local infrastructure and finance crisis, what can be done about it? Are public sector wages and benefits really the problem? Do higher tax revenues really discourage growth? Do public-private partnership investment models really increase investment? What is the potential for community/neighborhood approaches? Look for positive stories of innovation where you can find them – in physical infrastructure (water, roads) and social infrastructure, in finance and in service organization. Cases from advanced industrialized and developing countries are welcome.

Work with teams on project ideas. Review examples of previous class projects posted to website:

Transfer of Development Rights Programs: Using the Market for Compensation and Preservation
<http://government.cce.cornell.edu/doc/html/Transfer%20of%20Development%20Rights%20Programs.htm>

Business Improvement Districts: Issues in Alternative Local Public Service Provision
<http://government.cce.cornell.edu/doc/reports/econdev/bids.asp>

Mitigating Corruption in New Public Management
<http://government.cce.cornell.edu/doc/html/MitigatingCorruption.htm>

Prisons, Privatization, and Public Values
<http://government.cce.cornell.edu/doc/html/PrisonsPrivatization.htm>

March 11 Public Private Partnerships

Guest Lecturer: Stephen Iachetta Albany (ALB) Airport, Department of Planning & Engineering

Ashton, Phil, Doussard, Marc and Weber, Rachel, 2010. "The Sale of the Century: Financial Engineering and the Value of Privatized Infrastructure," Paper presented to the Am Collegiate Society of Planners, Minneapolis, MN.

Siemiatycki, Matti (2010). Urban Transportation Public-Private Partnerships: Drivers of Uneven Development? Paper presented to the Am Collegiate Society of Planners, Minneapolis, MN.

Slar, Elliott, 2009. The Political-Economics of Infrastructure Finance: The New Sub Prime, New York: Center for Sustainable Urban Development, The Earth Institute Columbia University.
http://csud.ei.columbia.edu/sitefiles/file/ACSP%20Paper_final.pdf

Recommended

Baker, Douglas and Robert Freestone, 2011. From Public Icon to Private Corporation: Planning for Airports in Australia, Paper presented to the Am Collegiate Society of Planners, Minneapolis, MN.

Czerwinski, David and Richard Geddes. 2010. Policy Issues in U.S. Transportation Public-Private Partnerships: Lessons from Australia, MTI Report 09-15. Mineta Transportation Institute. http://www.transweb.sjsu.edu/MTIportal/research/publications/summary/2807_09-15.html

March 16 Group Topic and Plan Due

March 16 Alternatives to Privatization

Barter, Paul A. 2008. Public planning with business delivery of excellent urban public transport, *Policy and Society* 27: 103–114 <http://dx.doi.org/10.1016/j.polsoc.2008.09.007> Also found in pp 159-177 in *Reasserting the Public in Public Services: New Public Management Reforms*, ed by M. Ramesh, E Araral and X. Wu, London: Routledge.

Boag, G. and DA McDonald. 2010. “A Critical Review of Public-Public Partnerships in Water Services”, *Water Alternatives*, Vol 3, Issue 1, February, pp 1-25.

http://www.water-alternatives.org/index.php?option=com_content&task=view&id=126&Itemid=1

Clifton, Judith, Comín, Francisco, Díaz-Fuentes, Daniel, 2007. Towards Understanding Network Service Transnationalization, pp 221-231 in *Transforming Public Enterprise in Europe and North America*, Clifton, Judith, Comín, Francisco, Díaz-Fuentes, Daniel, eds. NY: Palgrave.

Selections from:

Brid Brennan, Olivier Hoedeman, Philipp Terhorst, Satoko Kishimoto, Belén Balanyá, 2005. *Reclaiming Public Water: Achievements, Struggles and Visions from Around the World*, Transnational Institute. pdfs of all chapters available at <http://www.tni.org/tnibook/reclaiming-public-water-2>

Recommended

Clark, Woodrow W. and Ted Bradshaw. 2004. *Agile energy systems: global lessons from the California energy crisis*. San Diego: Elsevier.

Fine, Ben and Hall, David (2010). Contesting Neoliberalism: Public Sector Alternatives for Service Delivery. DEAS Working Paper n. 2010-27. Department of Economics University of Milan Italy.

http://www.economia.unimi.it/uploads/wp/DEAS-2010_27wp.pdf

March 18 Group Work Session

March 23-25 Spring Break

March 30 Decentralization, Devolution and Deconcentration (International)

Litvak, Jennie, Junaid Ahmad and Richard M. Bird. 1998. "Rethinking Decentralization in Developing Countries," Washington, DC: The World Bank.

http://www-wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2001/01/06/000094946_00121605341030/Rendered/PDF/multi_page.pdf

Tendler, Judith. 1997. *Good Government in the Tropics*. Baltimore, MD: Johns Hopkins University Press. Chapter 1 "Introduction" pp 1-20. (Book on Reserve)

Prud homme, Remy. 1995. "The Dangers of Decentralization," *The World Bank Research Observer*, 10(2): 201-220.

<http://proquest.umi.com/pqdweb?index=5&sid=1&srchmode=3&vinst=PROD&fmt=2&startpage=-1&clientid=8424&vname=PQD&RQT=309&did=6866262&scaling=FULL&ts=1187207071&vtype=PQD&aid=3&rqt=309&TS=1187207134&clientId=8424>

Recommended

Kohl, Benjamin. 2004. "Privatization Bolivian Style: A Cautionary Tale," *International Journal of Urban and Regional Research*, 28(4): 893-908.

<http://www.blackwell-synergy.com/doi/abs/10.1111/j.0309-1317.2004.00558.x>

April 1 Decentralization, Devolution and Deconcentration (Developed Countries)

Brenner, Neil. 2004. "Urban Governance and the Production of New State Spaces in Western Europe, 1960-2000," *Review of International Political Economy*, 11(3): 447-488.

<http://www.informaworld.com/smpp/content~content=a714024842~db=all>

Katz, Michael B. 2001. *The Price of Citizenship: Redefining America's Welfare State*. New York, NY: Metropolitan Books. Read Introduction and Chapters 1, 2, pp 1-56. (Book on Reserve)

Rodríguez -Pose, A. and Bwire, A. 2004. "The economic (in)efficiency of devolution." *Environment and Planning A*, 36, 11, 1907-1928. <http://www.envplan.com/abstract.cgi?id=a36228>

Recommended

National League of Cities, 2009. *City Fiscal Conditions in 2009*, Washington, DC. http://www.nlc.org/ASSETS/29BFCBD454A442E9BF89645017277767/CityFiscalConditions_09.pdf

Hackworth, Jason, 2007. *The Neoliberal City*, Ithaca: Cornell University Press. Esp Chapter 1.

Swyngedouw, Eric. 1997. "Neither Global nor Local: 'Glocalization' and the Politics of Scale," in K. Cox (Ed.) *Spaces of Globalization* pp 137-166. New York: Guilford Press.

Shah, Anwar and Theresa Thompson. 2004. "Implementing Decentralized Local Governance: A Treacherous Road with Potholes, Detours, and Road Closures," World Bank Policy Research Working Paper 3353, Washington, DC: The World Bank.

<http://www->

wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2004/07/29/000090341_20040729134144/Rendered/PDF/wps3353.pdf

Warner, Mildred E. and James E. Pratt. 2005. "Spatial Diversity in Local Government Revenue Effort Under Decentralization: A Neural-Network Approach," *Environment and Planning C: Government and Policy*, 23(5): 657-677. <http://www.envplan.com/abstract.cgi?id=c16r>

April 6 Regionalism

Wheeler, Stephen M., 2002, "The New Regionalism - Key Characteristics of an Emerging Movement" *Journal of the American Planning Association*, 68 (3): 267-278

<http://proquest.umi.com/pqdlink?Ver=1&Exp=08-20-2014&FMT=7&DID=128776231&RQT=309>

Imbroscio, David L. 2006. Shaming the Inside Game: A Critique of the Liberal Expansionist Approach to Addressing Urban Problems, *Urban Affairs Review* 42: 224-248.

<http://uar.sagepub.com/cgi/content/abstract/42/2/224>

Pastor, Manuel, Chris Benner and Martha Matsuoka 2009. *This could be the start of something big: How social movements for regional equity are reshaping metropolitan America*, Cornell University Press: Ithaca, NY. Chapter 2. (Book on Reserve)

Recommended

Warner, Mildred E. 2006. "Inter-municipal Cooperation in the U.S.: A Regional Governance Solution?" *Urban Public Economics Review/Revista de Economía Pública Urbana*, 7: 132-151.

<http://redalyc.uaemex.mx/redalyc/src/inicio/ArtPdfRed.jsp?iCve=50400609>

Bollens, Scott. 2003. In through the back door: Social Equity and Regional Governance. *Housing Policy Debate* 13 (4): 631-57.

<http://www.informaworld.com/smpp/quicksearch~db=all?quickterm=In+through+the+back+door%3A+Social+Equity+and+Regional+Governance.&searchtype=>

Swanstrom, Todd. 2006. Regionalism, Equality, and Democracy, *Urban Affairs Review* 42: 249-257. <http://uar.sagepub.com/content/42/2/249.full.pdf>

Clark, Jennifer and Susan Christopherson, 2009. Integrating Investment and Equity: A Critical Regionalist Agenda for a Progressive Regionalism, *Journal of Planning Education and Research* 28:341-354 <http://jpe.sagepub.com/content/28/3/341.abstract>

Orfield, Myron. 2002. *American Metropolitics: The New Suburban Reality*. Washington, DC: Brookings Institution Press.

Rusk, David. 1999. *Inside Game/Outside Game: Winning Strategies for Saving Urban America*. Washington, DC: Brookings Institution Press.

April 8 & 13 Book Fest

Class divides into groups and each reads one book and leads class discussion. Write a summary of the book suitable for a book review in a journal

Chavez, Daniel, 2007 *Beyond the Market: The Future of Public Services*. Transnational Institute. Book available on line at: <http://www.tni.org/archives/know/96>

Davis, Michael 2006. *Planet of slums*, New York: Verso.

Gaventa, John and Tandon, Rajesh, 2010. *Globalizing Citizens*, New York and London: Zed Books.

Graham, S. and Marvin, S. (2001) *Splintering Urbanism: Networked Infrastructures, Technological Mobilities and the Urban Condition*, London and New York: Routledge

Clavel, Pierre, 2010. *Activists in City Hall*, Ithaca: Cornell University Press.

Frug, Gerald E. 1999. *City Making: Building Communities without Building Walls*. Princeton, NJ: Princeton University Press.

Hackworth, Jason, 2007. *The Neoliberal City*, Ithaca: Cornell University Press.

April 15 Outlines for Final Paper Due (Graduate Students)

April 15 & 20 Presentation of Group Projects

April 22 Group Project Papers Due

April 22 Guest Lecture in ISP Seminar Series

Eric Swyngedouw, Designing the Post-Political City and the Insurgent Polis. 12:30 pm

April 22 Citizen Participation

Abers, Rebecca. 1998. "From Clientelism to Cooperation: Local Government, Participatory Policy, and Civic Organizing in Porto Alegre, Brazil," *Politics and Society*, 26(4): 511-537. <http://pas.sagepub.com/content/vol26/issue4/>

Miraftab, Faranak and Shana Wills. 2005. "Insurgency and Spaces of Active Citizenship: The Story of Western Cape Anti-Eviction Campaign in South Africa," *Journal of Planning Education and Research*, 25(2): 200-217. <http://jpe.sagepub.com/cgi/content/abstract/25/2/200>

Gavena, John and Tandon, Rajesh, 2010. Citizen Engagements in a Globalizing World, pp 3-30 in *Globalizing Citizens*, ed by Gavena, John and Tandon, Rajesh, New York and London: Zed Books.

Recommended

Mohan, Giles. 2002. The disappointments of civil society: the politics of NGO intervention in northern Ghana. *Political Geography* 21 (1): 125-154.
[http://dx.doi.org/10.1016/S0962-6298\(01\)00072-5](http://dx.doi.org/10.1016/S0962-6298(01)00072-5)

Moore, Henry and Deborah Puntenney. 1999. "Leading by Stepping Back: A Guide for City Officials on Building Neighborhood Capacity," Evanston, IL: Asset-Based Community Development Institute.
<http://www.northwestern.edu/ipr/publications/community/stepback.html>

Lukensmeyer, Carolyn J. and Lars Hasselblad Torres. 2006. "Public Deliberation: A Manager's Guide to Citizen Engagement," Washington, DC: The Center for the Business of Government. <http://www.businessofgovernment.org/report/public-deliberation-managers-guide-citizen-engagement>

Bresette, Patrick and Marcia Kinsey. 2006. "Public Structures: A Constructive Model for Government," Public Briefing No. 6. New York, NY: Dēmos: A Network for Ideas and Action.
<http://www.demos.org/publication.cfm?currentpublicationID=0E23549B-3FF4-6C82-521EE468A7FE57E0>

April 27 & 29 Citizenship and the State

Katz, Michael B. 2001. "Work, Democracy and Citizenship" pp 341-360 in *The Price of Citizenship: Redefining America's Welfare State*. New York, NY: Metropolitan Books. (Book on Reserve)

Marshall, T.H. 1950. "Citizenship and Social Class" in *The Citizenship Debates: A Reader*, ed by Gershon Shafir, 1998, Minneapolis, MN: University of Minnesota Press. (Book on Reserve)

Purcell, Mark (2003). Citizenship and the Right to the Global City: Reimagining the Capitalist World Order, *International Journal of Urban and Regional Research*, 27(3): 564-90.
<http://faculty.washington.edu/mpurcell/ijurr.pdf>

Holston, James and Arjun Appadurai. 1999. "Introduction" in *Cities and Citizenship*, ed by James Holston, Durham, NC: Duke University Press. (Book on Reserve)

Sassen, Saskia 2005. "The Repositioning of Citizenship and Alienage: Emergent Subjects and Spaces for Politics", *Globalizations*, 2(1): 79-94.
<http://web.ebscohost.com/ehost/pdfviewer/pdfviewer?hid=112&sid=c7eddb50-793f-4bd6-b143-d57ee9669d03%40sessionmgr111&vid=2>

Recommended

Turner, Bryan S. 1990. "Outline of a Theory of Citizenship," *Sociology*, 24(2): 189-217.

Cerny, Philip. 1999. Globalization and the Erosion of Democracy, *European Journal of Political Research* 36: 1-26. <http://www.springerlink.com/content/b5a3tp9l0w9n8d6j/>

Harvey, David 2005. *A Brief History of Neoliberalism*, Oxford, UK: Oxford University Press.
(For more on the concept of accumulation by dispossession)

May 4 & 6 Class Presentations – Final Paper Outlines

Short presentations of your paper outline for group review and critique. Attendance required by all students

May 9 Final Papers Due at Noon (Undergraduates)

May 13 Final Papers Due at Noon (Graduates)